Table of Contents

About the Editors .......................................................... vii
Introduction .................................................................. ix

PART 1: TESTING, SCREENING, AND REFERRAL

Chapter 1: Self-Report Screens for Identifying Drug-Use Problems
Introduction ................................................................. 1-1
Accuracy ........................................................................ 1-2
Sensitivity ...................................................................... 1-2
Specificity ..................................................................... 1-2
Positive Predictive Value ............................................. 1-2
Negative Predictive Value ............................................. 1-3
Administrative Constraints ........................................... 1-3
Screening Time ............................................................ 1-3
Required Staff Training ............................................... 1-3
Cost Considerations .................................................... 1-3
Window of Detection ................................................... 1-4
Dependence vs. Abuse .................................................. 1-4
Interview vs. Self-Administered ..................................... 1-4
Obtaining Truthful Responses ....................................... 1-5
Screen Administration ................................................. 1-5
Final Point: Match Screen to Institutional Program Options ........................................... 1-5

Chapter 2: Problems Identified by the Practical Adolescent Dual Diagnostic Interview (PADDI) in a Juvenile Detention Center Population
High Rates of Co-Occurring Mental Health and Substance Use
Disorders in Adolescents ............................................... 2-2
Most Assessment Instruments Developed for Research Settings ........................................... 2-2
PADDI: Standardizing Clinical Diagnoses ........................ 2-3
Administering the Interview; Evaluating the Responses ........................................... 2-3
Minimal Training Needed to Conduct the Interview ........................................... 2-3
Findings Must Be Interpreted by Professionals ........................................... 2-3
Comprehensive Series of Questions ........................................... 2-4
Study Procedures and Characteristics of Sample ........................................... 2-4
Age, Sex, and Ethnicity ................................................. 2-4
Educational Achievement ............................................. 2-4
Previous or Current Experience With Medication ........................................... 2-5
Chapter 3: Predicting Violent Behavior in Adolescent Cannabis Users: The GAIN-CVI

Introduction .................................................. 3-1
Moffitt’s Taxonomy .......................................... 3-2
  Life-Course Persistent Offenders .................. 3-2
  Adolescence-Limited Offenders .................... 3-2
The Cannabis Youth Treatment Study ............... 3-3
The GAIN Crime and Violence Index ................. 3-3
Testing Moffitt’s Theory of Criminal/Violent Behavior ........................................ 3-3
Changes in Social Environment Related to Changes in Illegal Activity ............. 3-5
Results and Limitations of GAIN-CVI ............... 3-5
  Good Predictive Validity ............................... 3-5
  Limited by Self-Report and Failure to Isolate Effects of Drug Use Changes .... 3-5
  Considerable Promise for Predicting Future Crime/Violence ...................... 3-6
  Findings Suggest Hope for Maturing Out ........................................ 3-6
  A Need to Expand the Scope of Treatment Evaluation ................................ 3-6
Conclusion: A Dynamic Explanation of Criminal Careers .......................... 3-6

Chapter 4: Using ADAM Data to Identify Intrajurisdictional Drug Use Patterns

Introduction .................................................. 4-1
Major Drug-Use Prevalence Data Collection Efforts ................................ 4-2
Drawbacks of Aggregate-Level Surveillance .............................. 4-2
Table of Contents

Study Spotlights Houston’s ADAM Data ........................................... 4-3
  Sample ............................................................................... 4-3
  Self-Report Data ................................................................... 4-3
  Urine Screen ....................................................................... 4-4
  Data Limitations ................................................................... 4-4
Data Analysis and Findings ............................................................. 4-4
  Descriptives ........................................................................ 4-4
  Drug Prevalence ................................................................... 4-4
  Research Caveats ................................................................... 4-6
Benefits of Disaggregating Drug Data .............................................. 4-7

Chapter 5: The California Department of Corrections Drug Reduction Strategy Project
  Introduction ........................................................................ 5-1
  DRS Program Design ............................................................. 5-2
    Four Program Sites ............................................................ 5-2
    Two Phases ....................................................................... 5-2
  DRS Implementation ............................................................. 5-3
    Phase I: Weekly Urinalysis .................................................. 5-3
    Phase II: Random Urinalysis Plus Other Drug Detection Measures .... 5-4
  Project Results ..................................................................... 5-4
    Random Urinalysis Testing .................................................. 5-4
    Other Drug Detection Measures ........................................... 5-5
  Drug Interdiction Efforts .......................................................... 5-5
    K-9 Teams ....................................................................... 5-5
    Drug-Detection Equipment .................................................. 5-6
  Conclusions ........................................................................ 5-6

PART 2: ALTERNATIVES TO INCARCERATION

Chapter 6: Matching Drug-Involved Probationers to Appropriate Drug Interventions: A Strategy for Reducing Recidivism
  Introduction ........................................................................ 6-1
  New York City’s Anti-Drug Initiative ........................................ 6-2
    Systematic Approach to Meeting Probationers’ Drug Treatment Needs an Effective Initiative ........ 6-2
    Client Population Served .................................................... 6-3
  Actual Treatment Participation Lower Than Hoped ...................... 6-3
    Most Cases Referred Through CPU Either Were Not Admitted or Dropped Out .................. 6-3
    Client Participation in Outpatient Drug Treatment Low .................. 6-4
Despite Low Participation, Treated Clients Less Likely to Recidivate 6-4
Deciding Which Treatment to Offer 6-6
Out-Patient Treatment Not Always Appropriate 6-6
Urine Monitoring of Probationers 6-6
When Out-Patient Treatment Is Indicated 6-7
Policy Implications and Recommendations 6-8

Chapter 7: Measuring Program Quality Over Time—Examples From Three RSAT Programs
Importance of Measuring Program Integrity and Quality Over Time 7-1
Principles of Effective Interventions 7-2
Assessing Program Integrity 7-3
The CPAI Instrument 7-3
Limitations of CPAI 7-3
Advantages of the CPAI 7-4
RSAT Program Assessments 7-4
Community-Based RSAT 7-4
Prison-Based RSAT 7-6
Juvenile RSAT Program 7-7
Lessons for RSAT Administrators 7-8
The Upside 7-9

Chapter 8: The Promises and Challenges of Drug Courts
Introduction 8-1
Illegal Drug Use the Norm for Offender Population 8-2
Drug Court Treatment Initiatives 8-2
Development of Drug Courts 8-2
Drug Court Program Basics 8-2
Initial Research Findings Show Promise 8-3
Drug Court Participants Do Better While in Treatment 8-3
Some Promising Recidivism Outcomes 8-4
Potential for Long-Term Taxpayer Savings 8-4
Research and Policy Challenges 8-5
Improving Retention 8-5
Facilitating Public Health Benefits 8-5
Identifying and Reaching Appropriate Target Population 8-6
Identifying and Improving Effective Treatment Processes and Related Staff Training 8-6
Addressing Knowledge Gaps 8-8
Conclusions 8-8
Chapter 9: The Characteristics of American Drug Court Programs

Florida Model Sparks a Trend .................................................. 9-2
Drug Court Program Goals ..................................................... 9-3
Locus of Program in Judicial Process ...................................... 9-4
Targeted Offenses and Offenders ............................................. 9-4
  Participant Eligibility Criteria .............................................. 9-4
  Referral, Screening, and Assessment Process ......................... 9-4
Program Organization and Operation ..................................... 9-5
  Program Phases ................................................................. 9-5
  Provision of Treatment Services .......................................... 9-5
    Services for Special Populations ....................................... 9-6
    Public Health Services ................................................... 9-6
    Aftercare ........................................................................... 9-6
    Alumni Activities ............................................................ 9-6
  Assignment of Cases to Drug Court Judge(s) ......................... 9-6
  Program Policies and Procedures ......................................... 9-7
    Oversight/Advisory Committee .......................................... 9-7
    Multi-Disciplinary and Cross-Training ................................. 9-7
  Community Relationships .................................................... 9-7
  Collaboration With Law Enforcement .................................... 9-7
Program Resources .............................................................. 9-8
  Judge Time ........................................................................... 9-8
  Additional Funds Needed ...................................................... 9-8
  Program Income ................................................................... 9-8
Program Process ................................................................. 9-9
  Time Between Arrest and Program Entry ............................... 9-9
  Changes in Existing Criminal Case Process Required to
    Implement Drug Court Program ........................................... 9-9
  Court Response to Participant Progress, or Rearrest .............. 9-9
  Termination of Unsuccessful Participants .............................. 9-10
  Successful Completion and Graduation ................................ 9-10
  Program Contacts With Participants .................................... 9-10

Chapter 10: Dilemmas Confronting Practitioners in Family Drug Treatment Courts

Introduction ................................................................. 10-1
Risk and Coercion ............................................................ 10-2
Family Drug Treatment Court Incentives and Supports ............ 10-3
Evaluation and Research .................................................... 10-4
Remaining Challenges for FTCs ........................................... 10-5
Chapter 11: The Role of Judicial Status Hearings in Drug Court

Drug Courts Are an Alternative to Criminal Prosecution or Incarceration . . . . 11-1

Key Components of Drug Courts ................................................................. 11-2

Studying the Effects of Judicial Status Hearings on Drug Court

   Outcomes: Biweekly or As-Needed ......................................................... 11-2

      First Study ........................................................................................................ 11-2
      Different Effects for High-Risk and Low-Risk Offenders
         in Biweekly vs. As-Needed ................................................................................... 11-3
      Study Limitation Addressed ................................................................................... 11-3
      Programs Four to Six Months Long ........................................................................ 11-3
      Monthly Meetings With Case Manager ...................................................................... 11-4

Follow-Up Study Results .................................................................................. 11-4

   Participant Characteristics ................................................................................... 11-4
   Distribution Across Study Conditions Confirmed .................................................... 11-4
   Main Effects Showed No Clear Differences
      Between the Two Conditions .................................................................................... 11-5
   Interaction Effects Showed Clear Superiority of Biweekly
      Condition for Participants With Prior Drug Treatment History .................. 11-6
      Study Recruitment Stopped in Response to Possible
         Shift in Risk/Benefit Ratio .................................................................................... 11-8

Conclusions .......................................................................................................... 11-8

PART 3: TREATMENT IN CORRECTIONAL SETTINGS

Chapter 12: The Kyle New Vision Program: An Intensive In-Prison

Therapeutic Community

Intensive Treatment Pays Off for High-Risk Substance Abusing Offenders ........ 12-2

Therapeutic Community Program Follows Participants to Outside World ........ 12-3

   Orientation Phase ................................................................................................. 12-3
   Main Treatment Phase ............................................................................................ 12-3
   Re-Entry Phase ........................................................................................................ 12-3
   Cadre ........................................................................................................................ 12-4

The Therapeutic Community Approach ............................................................. 12-4

   Primary Goals .......................................................................................................... 12-4
   Hierarchy ................................................................................................................... 12-5

Critical Program Components ............................................................................ 12-5

   Community Enhancement Activities ....................................................................... 12-5
   Chemical Dependency Education ............................................................................. 12-5
   Counseling Groups .................................................................................................. 12-5
   Community-Clinical Management .......................................................................... 12-6
Chapter 13: California’s First Prison Therapeutic Community: A 10-Year Review

Need for New Programs for the Many Substance Abusing Offenders in Prison

How It All Began

Focus on Substance Abuse as a Significant Problem

Task Force Recommendations

Recruiting Warden for Program

How the TC Was Implemented

Close Coordination Between Program and Correctional Staff

Working With the Realities—and Help—of the Inmate Population

Integration of Program Into General Prison Life

Expanding Eligibility Parameters

Development of Aftercare Component

The Amity Model

Structure and Duration

Staff and Training

Curriculum

Cross Training

Bottom Line: Program Works to Improve Lives…and Save Money

Conclusion

Chapter 14: National Update on Therapeutic Community Programs for Substance Abusing Offenders in State Prisons

Introduction

National Survey Findings

Extent of TC Programming

Inmates Served

Staffing

TC, or Not TC?

Some Confusion About What a TC Is
Chapter 15: Lessons Learned From the Federal Bureau of Prisons’ Drug Abuse Treatment Programs

Introduction .................................................. 15-2

Program Development History ............................. 15-2
“Narcotics Farms” ............................................. 15-2
NARA Programs .............................................. 15-2

Shift in Treatment Approach ................................. 15-3

Current Practices .............................................. 15-3
Biopsychosocial Model ...................................... 15-3
Nine-Month Residential Program ......................... 15-4

Outcome Evaluation Results ................................. 15-4
Positive Effect on Recidivism .............................. 15-5
Better In-Prison Functioning .............................. 15-5

Major Program Issues ....................................... 15-6
Rapid Program Implementation and Staffing .......... 15-6
Organizational Culture and Climate ...................... 15-6
Inmate Recruitment .......................................... 15-7
Establishing Treatment Milieu ............................ 15-8
Dual Disorders .............................................. 15-8

Future Directions ............................................ 15-9
Summary ....................................................... 15-9

Chapter 16: Promoting Change in a Drug and Criminal Lifestyle

The Drug-Crime Connection ............................... 16-2
The Lifestyle Model ......................................... 16-2
Lifestyle Concept Defined .................................. 16-2
Commonalities of Drug and Crime Lifestyles .......... 16-2
Case Example: Darryl ....................................... 16-3

How to Address—and Change—Belief Systems That Lead to Crime/Drug Lifestyles .......................... 16-3
PART 4: SPECIAL POPULATIONS

Chapter 17: Providing Treatment to Substance-Abusing Sex Offenders in Correctional Environments: Lessons From California

Abstract ................................................. 17-2
Prevalence of Sex Offenders in Prison .......................... 17-2
Need for Substance Abuse Treatment in the Inmate Population .......... 17-2
“Legal” vs. “Clinical” Sex Offenders ......................... 17-3
Co-Morbidity of Sex Offending With Substance Abuse ............. 17-4
Why Treat the Substance Abuse Disorder of the Sex Offender? .... 17-5
Barriers to Treating Substance Abusing Sex Offenders .............. 17-5

Stigmatism ............................................. 17-6
Denial .................................................... 17-6
Untrained and Inexperienced Staff ............................... 17-6
Institutional Policies Against Disclosure ......................... 17-6
Co-Occurring Antisocial Personality Disorder .................... 17-7
Lack of a Formal Process for Identifying Clinical Sex Offenders ... 17-7

Identifying and Treating Substance Abusing Sex Offenders:

Toward a Solution ...................................... 17-7
Identifying Those Sex Offenders Suitable for Treatment .......... 17-7
Identifying the Appropriate Treatment Modality .................. 17-8
Maximizing Success by Providing Needed Aftercare .............. 17-8

Summary .............................................. 17-8
Chapter 18: Therapeutic Community Treatment for Women in Prison: Assessing Outcomes and Needs

Growing Number of Incarcerated Women Raises Drug Abuse

Treatment Issues ........................................... 18-1
Prison-Based Treatment Outcomes for Women ........................................... 18-3
    Stay’n Out ............................................. 18-3
    Forever Free .......................................... 18-4
    Bureau of Prisons ...................................... 18-5
    California Department of Corrections Treatment Expansion Initiative .......... 18-6
    Selection Bias? ........................................ 18-6

Women Offenders’ Treatment Needs ......................................................... 18-6
    Drug Use and Criminal Involvement Issues ........................................ 18-6
    Medical Issues ........................................... 18-7
    Employment/Educational Issues ................................................. 18-7
    Parenting Issues .......................................... 18-7
    Relationship Issues ........................................ 18-8
    Sexual and Physical Abuse Issues ............................................ 18-8
    Psychological Issues ...................................... 18-9

Conclusion: More Research Needed ..................................................... 18-9

Chapter 19: Legal System Issues Among Drug-Involved Women Enrolled in a Community-Based HIV Prevention Program

Introduction .......................................................... 19-2
    Drugs-Incarceration Nexus for Women ........................................ 19-2
    Negative Health Impact of Women’s Drug Use ................................ 19-2

Study to Assess Need for and Role of Legal System Interventions for This Population .................................................. 19-2
    Community Outreach Project on AIDS in Southern Arizona .................. 19-3
    Legal Component and Instrumentation of Study ................................ 19-3

Extent of Legal Problems Reported ..................................................... 19-4
    Civil Law Difficulties ........................................... 19-4
    Criminal Charges .............................................. 19-4

Drug-Involved Women Victims Believe Legal System Cannot Help Them .......... 19-6

What Surveyed Women Want From the Legal System ................................ 19-7

Summary of Findings ......................................................... 19-8
    Women’s Legal Problems Tied to Drug Use .................................... 19-8
    Drug-Using Women Fear and Avoid Legal System ............................. 19-8
    Limitations of the Study .............................................. 19-9
    Implications for Practice ............................................. 19-9
Chapter 20: Gender Differences in Employment Among Substance-Abusing Offenders at Drug Court Entry

Introduction ......................................................... 20-1
Missing Link: Employment Services ............................ 20-2
The Intervention .................................................... 20-3
   Three Phases .................................................... 20-3
   Data Collection Method ....................................... 20-4
Gender Difference Profiles .................................... 20-4
   Employment ..................................................... 20-5
   Substance Use .................................................. 20-7
   Criminal Involvement ........................................ 20-7
Conclusions .......................................................... 20-7

Chapter 21: Considering Psychiatric Comorbidities Among Addicted Offenders: A New Strategy for Client-Treatment Matching

Introduction ......................................................... 21-2
   High Rates of Comorbidity .................................... 21-2
   Lack of Attention to Mental Health Needs of Drug-Abusing Offenders ... 21-2
Epidemiological Studies ......................................... 21-3
   Psychiatric Disorders in Jail Detainees ....................... 21-3
   Co-Occurring Substance Abuse and SMI in Probationers .... 21-4
   BJS Findings .................................................... 21-4
Assessment Strategies and Instruments ........................ 21-5
   Not Enough Screening for Comorbid Mental Illness in
      Substance Abusers .......................................... 21-5
   Desirable Screening Instrument Attributes .................. 21-5
   Candidate Screening Instruments ............................ 21-6
      Diagnostic Approach ........................................ 21-6
      Symptom Severity Approach ............................... 21-7
Treatment .......................................................... 21-8
   Psychiatric Concerns Not Just an “Add On” ................. 21-8
   Strong Argument for Specialized Programming ............. 21-9
   A Treatment-Matching Strategy ............................. 21-10
Conclusion .......................................................... 21-10

Chapter 22: How Psychopathic? A Critical Consideration for Offender Treatment

Introduction ......................................................... 22-1
Misconceptions and Myths Abound About Psychopathy ................. 22-2
Distinguishing Between Other Personality Disorders and Psychopathy .... 22-2
The PCL-R and Substance Abusers ........................................ 22-3
  Scoring ........................................................................ 22-3
  Interpreting PCL Factors in Substance Abusers .................. 22-4
How Psychopaths Differ From Other Offenders .................. 22-5
Age, Gender, and Ethnicity ............................................... 22-5
Overcoming Obstacles to Integration of Psychopathy Assessment
  Into Substance Abuse Treatment ........................................ 22-6
  Make All Staff Part of Team ........................................... 22-6
  Integrate Into Social Learning Model ................................. 22-6
  Tie Reliable Testing to Day-to-Day Work ............................ 22-7
Programming and Individualized Treatment Planning for
  Psychopathic Substance Abusers ....................................... 22-8

Chapter 23: Treating Substance Abuse Clients with Co-Occurring Intimate
  Partner Violence
High Rate of Co-Occurrence ............................................. 23-1
Need for Screening and Special Treatment Planning .............. 23-2
IPV Offender Typologies .................................................. 23-2
Other Clinical Characteristics of IPV Offenders .................... 23-3
  Personality Disorders .................................................. 23-3
  Depression .............................................................. 23-4
  PTSD ................................................................. 23-4
Screening and Assessment Strategies and Issues for
  Substance Abuse Treatment Providers ............................... 23-4
  Contextualized Questioning .......................................... 23-4
  Victim Input .................................................................. 23-5
  Risk Assessment ......................................................... 23-5
Treatment Selection ......................................................... 23-6
  Safety Planning .......................................................... 23-6
  What to Treat First? ..................................................... 23-7
  Structured Treatment for IPV ........................................ 23-7
  Integrated Treatment or Referral Approaches ..................... 23-8
  No Mixed-Gender Groups ............................................. 23-8
Community Resources and Linkages .................................... 23-8
Clinician Training and Supervision ...................................... 23-9
Future Program Development ............................................. 23-9

Chapter 24: Family Empowerment Intervention: An Effective—and Cost-
  Effective—Program for Delinquent Youths
Substance Abuse Programs Needed in Juvenile Justice System .... 24-1
Theoretical Foundations of the Intervention .......................... 24-2
Program Goals .................................................. 24-3
A Four-Phase Intervention .................................. 24-3
Implementing and Evaluating the Clinical Trial .......... 24-4
  Enrollment Process ......................................... 24-4
  Demographic and Psychosocial Characteristics ........ 24-5
  Follow-Up Process ......................................... 24-5
Official Record Analyses of Post-Intervention Charges and Arrests .. 24-5
Self-Reported Delinquency, Post-Intervention ............ 24-6
Alcohol/Other Drug Use ..................................... 24-6
Emotional/Psychological Functioning ....................... 24-6
Cost-Saving Benefits of FEI ................................ 24-7
Conclusions ................................................... 24-8

Chapter 25: Inhalant Users: A Juvenile Justice Population of Special Risk
Juvenile Inhalant Use and Related Delinquency Patterns .......... 25-1
Damage Caused by Inhalant Abuse ........................... 25-2
Findings of the 2000-2001 Texas Youth Commission Study .... 25-3
  Purpose and Methodology .................................. 25-3
  Usage Patterns Differ by Gender and Ethnicity .......... 25-3
  Co-Occurring Risk Factors Found in Inhalant Users .... 25-5
  Relationship of Inhalant Use to Criminality ............ 25-5
Implications for Treatment Planning ......................... 25-7

PART 5: FACTORS IMPACTING TREATMENT

Chapter 26: Who Decides Length of Stay in Substance Abuse Treatment?
Introduction ................................................... 26-1
Research Supports Extended Stays ............................ 26-2
Why Not Long-Term Treatment for Everyone? ............... 26-3
External Factors Impact How Much and What Kind of Treatment Is Provided ... 26-3
Special Problems of the Dually Diagnosed .................... 26-3
How Political Issues Influence Lengths of Stay in the Criminal Justice Arena . 26-4
How Economic Issues Dictate Length of Stay ................. 26-5
  Introduction of Managed Care ............................ 26-5
  Interplay of Politics With Economics .................... 26-5
Ethical Issues .................................................. 26-6
Bottom Line: What Treatment Providers Can Do ............ 26-7

Chapter 27: Correctional Treatment and the TCU Treatment Model
Introduction ................................................... 27-1
Scope of Problem ............................................. 27-1

TABLE OF CONTENTS
Chapter 28: Implementing a Readiness Program for Mandated Substance Abuse Treatment

Introduction .......................................................... 28-2
Readiness for Treatment a Key to Success ......................... 28-2
    Methods Not Designed for Criminal Justice ......................... 28-2
    Special Issues for Corrections ........................................ 28-3
Brief Description of the Treatment Program ....................... 28-3
Intent of the Readiness Series ..................................... 28-4
Session 1: Activities to Enhance Mood and Self-Esteem .............. 28-4
    Tower of Strengths .................................................. 28-4
    Weekly Planner ..................................................... 28-5
Session 2: Activities to Develop a Need for Positive Change .......... 28-5
    Downward Spiral ................................................... 28-5
    Change Maps ....................................................... 28-6
Session 3: Activities to Develop a Positive View of the Program and Identify Important Personal Actions ................................. 28-6
    Believe It or Not ................................................... 28-6
    Personal Action List ............................................... 28-6
    Top 10 Reasons for “Working the Program” ......................... 28-7
Session 4: Activities Providing Strategies for Making the Most of This Time .... 28-7
    Set Effects: Seal Act/Costume Ball ................................. 28-7
    Set: Sleep/Strength List .......................................... 28-7
    Pegword Memory Technique ..................................... 28-7
    Science of Imagery ................................................ 28-8
Impact of the Readiness Activities: Research Findings ............ 28-8
    Comparisons of During-Treatment Impact: Standard vs. Enhanced .. 28-8
Reactions to the Series of Activities: A “Consumer Satisfaction” Questionnaire ............... 28-9
Designing a Readiness for Treatment Program: Conclusions and Caveats .......... 28-10
    Motivation to Change or to “Work the Program” .................. 28-10
Implementation Issues ................................................. 28-11
Final Comments ......................................................... 28-11
Chapter 29: Readiness and Mandated Treatment: Development and Application of a Functional Model

Introduction ................................................................. 29-2
Key Elements of a Treatment Readiness Model .......................... 29-2
  Focus on the Consequences of Change ("What if I Change?") ........ 29-2
  Focus on the Treatment Process ("How Do I Change?") .............. 29-4
A Road Map for Readiness ................................................. 29-4
Practical Applications of the Model ...................................... 29-6
"What if" Activities ......................................................... 29-7
  Knowledge of Change .................................................. 29-7
  Acceptance/Attractiveness of Change ................................ 29-7
  Resources for Change .................................................. 29-7
  Confidence in Resources for Dealing With Change ................. 29-8
"How to" Activities ......................................................... 29-8
  Knowledge of Process .................................................. 29-8
  Resources for Process .................................................. 29-8
  Confidence in Process .................................................. 29-9
  Acceptance/Attractiveness of Process ................................ 29-9
  Treatment Planning ...................................................... 29-9
Conclusions ................................................................. 29-9

Chapter 30: Corrections-Based Substance Abuse Programs: Good for Inmates, Good for Prisons

Introduction ................................................................. 30-1
Background: TC Philosophy ............................................... 30-2
The Substance Abuse Treatment Facility at Corcoran State Prison .... 30-2
Impacts of the SATF TC Programs ....................................... 30-3
  Substance Use Among SATF Participants ............................ 30-3
  Disciplinary Actions .................................................... 30-3
  Staff Ratings of SATF .................................................. 30-4
  Staff Absenteeism ...................................................... 30-4
Staff and Inmate Perceptions of SATF ................................... 30-5
Implications for Prison Management and Planners ..................... 30-6

Chapter 31: The Effectiveness of Coerced Admission to Prison-Based Drug Treatment

Introduction ................................................................. 31-1
The California Substance Abuse Treatment Facility ..................... 31-2
Study Design and Methods ............................................... 31-3
  Sample Population ..................................................... 31-3
Study Measures ............................................................ 31-4
Substance Use Severity ........................................... 31-4
Motivation for Treatment ....................................... 31-4
Recidivism ............................................................ 31-5

Results ........................................................................ 31-6
SATF vs. Comparison Group ...................................... 31-6
Desire for Treatment .................................................. 31-7
Treatment-Desire Interaction ...................................... 31-7
Multivariate Models Predicting Six-Month Recidivism .... 31-8

Discussion ................................................................. 31-9
Matching Treatment to Inmate’s Motivation Is Critical ... 31-9
Study Limitations ....................................................... 31-10

Conclusion ................................................................. 31-10

PART 6: AFTERCARE

Chapter 32: Reducing Recidivism Through a Seamless System of Care: Components of Effective Treatment, Supervision, and Transition Services in the Community

Introduction ............................................................... 32-2

Barriers to—and Bonuses of—Providing Substance Abuse Treatment to Offenders ........................................... 32-2
  Treatment Perceived as Opportunity, Not Punishment .......... 32-3
  Offenders Often Unwanted in Community Treatment System .... 32-3
  Offenders Have Better Treatment Completion Rates .......... 32-3

Longer Stay in Treatment and Continuum of Care Improve Outcomes ..................................................... 32-4

Recognizing Treatment as a Means of Crime Control Is Good Public Policy ................................................. 32-4

Twelve Principles for Effective Systems of Care Focusing on Transitional Policies and Treatment Retention .................. 32-5
  #1: Make Recidivism Reduction the Goal ....................... 32-5
  #2: Treatment and Criminal Justice System Features Must Be Policy Driven ........................................... 32-5
  #3: Treatment and Criminal Justice Professionals Must Function as a Team .............................................. 32-5
  #4: Use Drug Testing to Manage Offenders .................... 32-6
  #5: Target Offenders Whose Treatment Will Have Broad Impact ......................................................... 32-6
  #6: Use Treatment Matching Practices ........................... 32-7
  #7: Create a Treatment Process and Extend Length of Time in Treatment ............................................. 32-7
  #8: Use Behavioral Contracts ....................................... 32-8
  #9: Use Special Agents to Supervise Offenders in Treatment ................................................................. 32-8
  #10: Sanction Non-Compliant Behavior .......................... 32-9
  #11: Reward Positive Behavior ..................................... 32-10
Chapter 33: Continuity of Offender Treatment: From the Institution to the Community

Background: Effectiveness of Offender Treatment

How Continuity of Offender Treatment Improves Ultimate Treatment Success Rates

Recent Outcome Studies Show Positive Effects

The Oregon Demonstration Project

Theoretical Underpinnings of Programs

Justice System Perspective

Offender Perspective

Obstacles to Continuity of Offender Treatment

Segmentation of the Criminal Justice System

Lack of Coordination Between Justice System and Treatment Programs

Loss of Post-Release Structure for Offenders

Loss of Incentives and Sanctions at Release

Lack of Services in the Community

Lack of Treatment Provider Experience With Offenders

Community Funding Challenges

Successful Program Models

Outreach Programs

Reach-In Programs

Third-Party Continuity Program

Mixed Model

Chapter 34: An Integrated Approach to Aftercare and Employment for Criminal Justice Clients

Offender Employment a Critical Incentive

Effectiveness of Aftercare in Reducing Recidivism

Case Management: The TASC Model

Synergy Between Aftercare Treatment and Employment Services

Programmatic Difficulties to Overcome

Provider Issues

Bridging Program-Provider Gaps

Using Distance Learning

Addressing Employers’ Legal Concerns

Creating a Multi-Value Framework

New CREW Model

Conclusion
Chapter 35: Increasing Supervision’s Effectiveness: An Evidence-Based Model

Introduction .................................................................................................................. 35-1
Questions About the Effectiveness of Supervision ...................................................... 35-2
Traditional Social Control Supervision Framework .................................................... 35-2
  Contact Model ............................................................................................................. 35-2
  Supervision Objectives ............................................................................................... 35-3
  Supervision as a Process of Engagement .................................................................. 35-3
Engagement in Pro-Social Values and Behaviors ......................................................... 35-4
Engagement Process ..................................................................................................... 35-5
The Supervision Plan .................................................................................................... 35-5
  Informal Social Controls ............................................................................................ 35-5
  Formal Controls/Services .......................................................................................... 35-6
Making the Commitment to Change (Early Change) ................................................... 35-6
  Ground Rules .............................................................................................................. 35-8
  Agent Deporament .................................................................................................... 35-8
  Communication .......................................................................................................... 35-9
Sustained Change for the Long Term ............................................................................ 35-9
Conclusion .................................................................................................................... 35-10

PART 7: RESEARCH TO PRACTICE

Chapter 36: From Research to Practice: How the Addiction Technology Transfer Centers Bridge the Corrections Gap

Responding to the Connection Between Substance Abuse and Crime ....................... 36-2
What Technology Transfer Is ...................................................................................... 36-2
The ATTC Network’s Role in Creating Effective Interventions ................................. 36-3
  That Bridge the Gap .................................................................................................. 36-3
  Federal and State Ties Enhance Effectiveness ......................................................... 36-3
  Implementing Change ............................................................................................... 36-3
Cross-Training Curricula ............................................................................................ 36-4
  Criminal Justice and Substance Abuse Partnerships .............................................. 36-4
  California-Specific Training ...................................................................................... 36-5
  TC Training .............................................................................................................. 36-5
  Substance Abuse and Mental Disorders ................................................................... 36-5
  Female Offenders ...................................................................................................... 36-6
Creating Meaningful Partnerships in Both Systems ................................................... 36-6
Applying Change Strategies ....................................................................................... 36-6
  Need to Work With “Big Picture” .......................................................................... 36-7
  Program Examples .................................................................................................... 36-7
Summary ....................................................................................................................... 36-8
Chapter 37: Moving From Correctional Program to Correctional Strategy: Using Proven Practices to Change Criminal Behavior

Introduction .................................................. 37-2
Attributes Associated With Criminal Behavior and Recidivism ............... 37-2
Elements of Effective Programs ........................................ 37-2
Applying Theoretical Principles to Practice .................................. 37-3
  The Criminogenic Risk Principle .................................. 37-3
  The Criminogenic Need Principle ................................ 37-4
  The Responsivity Principle .................................... 37-4
  Interplay of Principles ........................................... 37-4
Cognitive Behavioral Intervention ........................................... 37-4
  Criminal Thinking—Understanding the Logic and Rewards ........... 37-4
  Targeting Offender Behavior—Social Learning and
    Behavioral Intervention ....................................... 37-5
Models of Social Learning .............................................. 37-6
  Community Model of Resocialization for Offenders ................. 37-6
  Types of Community Models ....................................... 37-6
Elements of Successful Cognitive Programs ................................ 37-6
  Basic Program Essentials ........................................ 37-6
  Cognitive Approaches ............................................. 37-7
  Incorporating the Principle of Responsivity .......................... 37-7
Taking an Integrated Approach ........................................... 37-8
  Relapse Prevention Strategies ................................... 37-8
  Sanctions and Treatment: Accountability and Change .............. 37-8
Evidence-Based Program Structure ....................................... 37-9
  The Cognitive Community ........................................ 37-9
  Staff as Community Members and Agents of Change ................ 37-10
Maximizing Results .................................................... 37-11

Chapter 38: Enhancing Substance Abuse Treatment Skills in Correctional Settings

Preconceptions a Barrier to New Understanding of Addiction ............... 38-1
The Gap Between Science and Clinical Practices ......................... 38-2
  Practitioners Want Specific Answers From Science ................. 38-2
  Researchers Need to Consider Under-Studied Treatment Strategies .. 38-3
Challenges in Transferring Clinical Success to Treatment Program .......... 38-3
How Bias Affects Treatment and Training ................................ 38-3
Continuity of Care ..................................................... 38-4
Training Adult Learners .................................................. 38-5
Basic Concepts of Training ............................................. 38-5
Skill Enhancement ....................................................... 38-6
Organizational Skills .......................................................... 38-6
Case Management Skills .................................................... 38-7
Successful Training Methods ............................................... 38-8
Conclusion ........................................................................ 38-8

Chapter 39: Assessing Prison-Based Drug and Alcohol Treatment:
Pennsylvania’s Ongoing Review Has Improved Programming
The National Picture ......................................................... 39-2
Need Outpaces Program Services ........................................ 39-2
Open Question: Which Drug Abuse Programs Work ............... 39-2
Pennsylvania Partnership Purpose and Goals ....................... 39-4
Demonstration Project ....................................................... 39-4
DOC Goals ....................................................................... 39-4
DOC’s Alcohol or Other Drug Programs ............................. 39-4
Survey of Drug and Alcohol Programs in Pennsylvania Prisons ........................................ 39-5
Survey Findings ............................................................... 39-5
  Point #1: Considerable Variation in Program Duration and Intensity ...................................... 39-5
  Point #2: Consistency in Treatment Approach ........................................................................ 39-6
  Point #3: Consistency in Program Topic Coverage .................................................................. 39-6
  Point #4: Great Variation in Program Types .......................................................................... 39-6
  Point #5: Great Variation in Administrative Criteria ............................................................... 39-6
Practical Implications of Findings ..................................... 39-7
  Program Variability Inhibits Effective Management ................................................................ 39-7
  We Need to Know More About Process Effect on Outcome .................................................. 39-7
  Better Tracking Required ........................................................................................................ 39-8
Outcome Evaluation of Pennsylvania’s Prison-Based Drug Treatment ........................................ 39-8
Translating Research to Practice ........................................ 39-9
  The Department as a Learning Organization ...................................................................... 39-9
Evaluation Recommendations Implemented or Under Current Review .................................... 39-10
  New Screening Tools .............................................................................................................. 39-10
  Program Standardization ......................................................................................................... 39-10
  Improved Documentation ........................................................................................................ 39-10
  Future Projects ....................................................................................................................... 39-10
Conclusion ........................................................................ 39-11
Chapter 40: Strong Science for Strong Practice: Linking Research to Correctional Drug Treatment

Introduction ........................................................................... 40-2
Some Principles of Effective Prison-Based Drug Treatment .............. 40-2
Strategies to Encourage Science-Based Drug Treatment in Prisons .... 40-4
  Create Manual of Correctional Drug Treatment Principles ......... 40-5
  Educate the Public, Policymakers, Legislators, and Prison Officials .. 40-5
  Promote Boundary-Spanning Efforts to Provide Drug
  Treatment in the Criminal Justice System ......................... 40-5
  Isolate a Range of Proven, Available, Feasible In-Prison
  Treatment Options ....................................................... 40-6
Research Gaps on Drug Treatment in Corrections ......................... 40-6
  Determine Nature and Extent of Current Drug
  Treatment Need and Practice ........................................... 40-6
  Develop Validated Screening and Assessment Instruments
  for Various Decision Points ............................................. 40-6
  Determine the Balance of Incentives and Sanctions Available ....... 40-7
  Better Understand Role of Motivation and Coercion in Treatment ... 40-7
  Examine Treatment Delivery in Context of
  Different Stages of a Prison Term ..................................... 40-7
  Examine How Effectiveness of Drug Treatment
  Might Be Affected Based on Prison Security Levels .............. 40-7
  Explore How Transitioning Into and Out of Prison Influences
  Effectiveness of Treatment ........................................... 40-8
  Assess Cost-Effectiveness .............................................. 40-8
  Learn What Factors Determine Available Treatments—
  and Which Are Appropriate ......................................... 40-8
  Learn How Correctional Staff and Systems-Level
  Factors Impact Treatment ........................................... 40-8
Conclusion .............................................................................. 40-9

Appendix: Legal Issues ......................................................... App.-1

Afterword .............................................................................. A-1

Index .................................................................................. I-1